



**Red Tractor**  
Farm Assurance



## CHECKLIST 2010-2011

Standard numbers begin with a two letter pre-fix that identifies the section (e.g. TI Traceability and Integrity). Key Standards are identified with a letter K, all other standards are normal (N) or recommended (R)

### DOCUMENTS AND PROCEDURES (DP)

DP.1 (N)	A copy of the assurance scheme standards Protecting our Water Soil & Air - A Code of Good Agricultural Practice for farmers, growers and land managers • Defra Code of Practice for Using Plant Protection Products PB 11090 • VI Crop Protection Management Plan Defra • Saving Money by Reducing Waste: Waste Minimisation Manual PB 11674	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
DP.2 (N)	Is there an emergency plan comprising emergency contact and contingency procedures available to all staff?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
DP.3 (N)	Are producers registered with the appropriate regulatory authorities under relevant legislation?-	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
DP.3 (N)	Are producers registered with the appropriate regulatory authorities under relevant legislation?-	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
DP.4 (N)	Is there a documented record of written complaints available?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
DP.5 (N)	Is there a written assessment for new production sites?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
DP.5PR.1 (N)	Is there a corrective action plan, setting out strategies to minimise all identified risks in new agricultural sites?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.2 (K)	Are all pesticides kept secure to prevent contamination and pollution?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.2.1 (N)	Does the pesticide store have a warning sign on the access doors?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.2.2 (N)	Is the pesticide store soundly constructed, ventilated, frost proof and does it have sufficient lighting to read a label?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.2.3 (N)	Is the pesticide store bunded and is there a spillage kit available?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.2.4 (N)	Are pesticides stored in a location that is fire resistant (excluding roof) and away from other materials?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.2.5 (N)	Are all pesticides stored in their original packaging?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

EC.2.6 (N)	Are powders stored on shelves above liquids?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.2.7 (N)	Do all pesticide products in the store have current approval for use and storage?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.2.8 (N)	Are keys and access to the pesticide store limited to workers with formal training in the handling of pesticides?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.3 (K)	Are fertilisers stored appropriately to prevent contamination and pollution?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.3.1 (N)	Are fertilisers stored separately from fresh produce or plant propagation material?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.3.2 (N)	Are fertilisers stored separately from pesticides and other flammable materials?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.3.3 (N)	Are there up to date fertiliser stock records available on the farm (updated at least every 3 months)?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.3.4 (R)	Are all fertiliser hazard and risk areas clearly indicated and is the producer aware of the Dangerous Substances (Notification and Marking of Sites) Regulations?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.3.5 (N)	Are liquid fertilisers stored in suitable tanks or bowsers and is there a spillage plan in place?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.3.6 (N)	Are fertilisers stored on a hard dry surface (preferably under cover) and away from watercourses?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.4 (N)	Are fertilisers stored appropriately to reduce the risk of theft and is a theft action protocol in place?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.5 (K)	Are potential pollutants including animal manure/slurry, effluents, agricultural oils and plastics stored appropriately to prevent pollution or spread of disease?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.6 (K)	Are paints, preserves, disinfectants, baits and other chemical stored appropriately to prevent contamination or pollution?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.7 (K)	Are pesticides and other crop protection products applied in ways which prevent pollution?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.7.1 (K)	Are all pesticides used approved for use in the UK on the crops being protected?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.7.2 (K)	Are pesticides applied according to the label and where SOLA's are used is there a copy of the SOLA available?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.7.3 (N)	In all cases are the label <b>recommendations</b> adhered to?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.7.4 (N)	Are high pollution risk areas identified on a farm map?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.7.5 (N)	Are precautions taken to avoid or protect non-target areas? Is the beekeepers liaison telephone number known and where appropriate are beekeepers notified 48 hours in advance if pesticides hazardous to bees are to be applied?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.7.6 (N)	Have any necessary local environmental risk assessments (LERAP) with respect to pesticide applications been carried out?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.7.7 (N)	Are containers used for transporting pesticides around the farm secure, lockable and display a warning sign?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.7.8 (N)	Is integrated crop management (ICM) adopted and discussed with relevant staff, advisors and contractors?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.7.9 (N)	Is the protection of crops against pests, diseases and weeds achieved by employing non-chemical methods (where appropriate biological, physical and cultural) and with minimum reliance on pesticides?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

EC.7.10 (K)	Are statutory harvest intervals for applied pesticides complied with and are harvest dates recorded?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.8 (N)	Are there up to date records of pesticide and crop protection product usage?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.8.1 (N)	Are regular crop inspections undertaken and recorded?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.8.2 (N)	Are pests, diseases and weeds monitored by recording results and/or by participation in prediction programmes and are thresholds used to avoid the routine application of pesticides?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.8.3 (N)	Are all pesticide applications recorded and kept for a minimum period of 3 years. Do they include: name of equipment operator, crop, variety, crop location/field name, area to treat, rate of application, product name and active ingredient, volume of water, reason for application, special precautions required (i.e. LERAP), date of application (where this may occur over a period of more than one day, the start date and finish date must be recorded), start and finish times, harvest intervals and, for crop outdoors, weather conditions including wind speed and direction at application?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.9 (N)	Is pesticide spraying equipment (other than hand held or knapsacks) serviced and calibrated annually as specified or does it hold a valid pass NSTS certificate?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.9.1 (N)	For all handheld applicators and knapsack sprayers, has an annual routine check been undertaken and the results recorded?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.9.2 (N)	When spray contractors are used, does their sprayer have a current NSTS certificate and is a copy available?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.10 (K)	Are fertilisers, manures & compost applied to land to prevent pollution and contamination?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.10.1 (N)	Are sewage sludge applications in accordance with the Code of Practice and are all applications recorded?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.10.2 (K)	Has untreated Human Sewage Sludge been applied to farm land?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.10.3 (R)	Has a cropping/nutrient plan been developed to ensure minimisation of nutrient loss?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.10.4 (N)	Are fertilisers/nutrients applied with reference to soil or substrate drainage analysis and the calculation of crop nutrient requirements?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.10.5 (N)	Has the quantity and timing of fertiliser application been matched to meet crop demand and to avoid leaching?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.10.6 (N)	Are records held of the location, date fertiliser type, method of application, operator and quantities/concentration of all applied fertilisers/nutrients?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.10.7 (N)	Are purchased inorganic fertilisers used within the last 12 months accompanied by documentary evidence?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.10.8 (R)	If in an NVZ is the producer aware of the legal requirement to comply with action plan rules?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.10.9 (N)	Are precautions taken to protect non-target areas from fertilisers/nutrients?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.10.10 (K)	If manures/compost are used has a documented risk assessment been carried out which considers the source and characteristics before application?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.10.11 (N)	Has proper account been taken of the nutrient contribution of applied organic manure and applications made as part of the nutrient management plan?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.11 (N)	Are fertiliser spreaders calibrated and serviced at least annually and is this recorded?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

EC.12 (N)	Is there an up to date manure management plan which will prevent contamination and the spread of disease?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.13 (K)	Are all surplus pesticides, empty containers and other chemicals disposed of in accordance with current legislation and COP?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.13.1 (N)	Are empty containers cleaned using an integrated pressure rinsing device or rinsed three times with water, and the rinsate returned to the spray tank?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.13.2 (N)	Does the producer dispose of empty pesticide containers as specified and in accordance with the Agricultural Waste Regulations 2006?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.13.3 (N)	Are unwanted or non-approved pesticides disposed of according to the Code of Practice and is this recorded?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.13.4 (N)	Are dilute pesticides disposed of in accordance with the 1999 Groundwater Directive?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.13.5 (R)	Is the disposal of all surplus spray mix and tank washings recorded and are these records maintained? Is a Standard Operating Procedure (SOP) written and followed each time such an area is treated?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.13.6 (N)	Does the farm waste management plan include documented and implemented Waste and Recycling Management which includes all identified waste and detail measures taken to reduce wastage and, whenever possible, recycle to avoid using of landfill or burning?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EC.13.7 (N)	Is there a Pollution Management Plan which identifies all potential pollutants within the business, as specified?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
VC.1 (K)	Do all units have and implement a vermin control system?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
VC.1.1 (N)	Are effective vermin (including rodent) control measures applied to all permanent packing and storage sites and do these control measures must comply with statutory label instructions and are they recorded? Do the records include a site plan showing the location of bait points and are they retained for 2 years?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
VC.1.2 (N)	Are all entry points to storage or packing buildings or equipment suitably protected to prevent, whenever practically possible, the ingress of rodents and birds? Are baits placed in such a manner that non-target species do not have access to them?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
VC.1.3 (N)	Is access of domestic animals to the produce handling facilities restricted, to prevent product contamination?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

## STAFF AND CONTRACTORS (SC)

SC.1 (K)	Are there sufficient, competent people working for the unit? <i>(including spraying competency where applicable)</i>	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SC.1.1 (N)	Have pesticide operators received training in the handling and storage of pesticides?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SC.1.2 (N)	Do all spray operators have a certificate of competence PA1 etc or do they qualify for Grandfather Rights and have undergone training?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SC.1.3 (N)	Are sprayer operators members of the National Register of Sprayer Operators (NRoSO)?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SC.1.4 (N)	Is the fertiliser adviser FACTS Qualified and on the Professional Register?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SC.1.5 (N)	Does any individual whose advice is sought regarding the use of plant protection products hold the BASIS Certificate in Crop Production, is a	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

	member of the BASIS Professional Register?	
SC.1.6 (N)	Do any contractors undertaking work on the production of crops adhere to the Scheme standards and have they completed a Contractors Commitment document?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SC.1.7 (N)	Where outside advisors are not used to provide advice on pesticide usage and application, are staff able to demonstrate their competence and knowledge having undertaken training on pesticide usage and application?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SC.1.8 (N)	Are there adequate levels of trained first aid personnel and equipment, on permanent sites and in the field?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SC.2 (N)	Are records of training and qualifications maintained for all current and recently employed staff?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SC.2.1 (N)	If sprayer contractors are used are the staff registered on the National Register of Sprayer Operators (NRoSO)?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SC.2.2 (N)	If spray contractors are used, do staff have the relevant certificates of competence?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SC.2.3 (N)	Are records of training kept for each employee?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

## TRACEABILITY AND INTEGRITY (TI)

TI.1.1 (N)	Are the action plan procedures tested annually and records kept?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
TI.1.2 (N)	Has a recording system been established, which includes the information specified?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
TI.1.3 (N)	Is seed quality checked before use, is seed traceable to source and are records kept ?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
TI.1.4 (R)	Are delivered stock/plants accompanied by recognised plant health quality certification and quality or production guarantees kept as specified?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

## SEED, NURSERY STOCK AND ROOTSTOCK (SN)

SN.1 (K)	Are only approved chemicals used for home treated seed /rootstock (as appropriate)?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SN.1.1 (R)	Are the product used for seed treatment and its target recorded? If rootstocks are treated are the product used and its target recorded?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SN.1.2 (N)	Are pesticide applications made to young plants grown in-house recorded? Do records must contain all the specified detail?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SN.1.3 (R)	Is there an awareness of pesticide applications made to plants grown at source and are records held?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

## SITE AND SOIL MANAGEMENT (SM)

SM.1 (N)	Is there a policy? Has an attempt been made to maintain oil structure or control erosion or if crop rotations are not employed?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SM.1.1 (R)	Is there a written soil management plan which includes conservation of soil organic matter?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

SM.1.2 (R)	Are specific scientific predictive tests undertaken where they are available, to ascertain pest and disease levels in the soil and help schedule crop rotations?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SM.1.3 (R)	Are soil types mapped for the farm and do they identify soil texture and analysis so that they can then be used to plan rotations, planting and growing programmes?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SM.1.4 (R)	Is soil/substrate management policy discussed with advisors and relevant staff?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SM.1.5 (R)	Does soil management aim to minimise soil erosion and soil compaction?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SM.1.6 (R)	Is chemical fumigation of soils avoided wherever possible and were alternatives explored?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SM.1.7 (N)	If chemical soil fumigants are used, is written justification must be documented and do records contain the information specified?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SM.1.8 (R)	Are substrates traceable to source and do records demonstrate that substrates do not come from designated conservation areas?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SM.1.9 (R)	Is recycling of substrates undertaken and documented? Is justification available if inert substrates are not recycled?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
SM.1.10 (R)	Are growth media and substrates re-used/sterilised? Was steaming used?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

## ENVIRONMENTAL IMPACT/CONSERVATION AND SUSTAINABILITY (EI)

EI.1 (N)	Is the producer aware of any practices that have an environmental impact?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EI.1.1 (N)	Does the producer have a plan for the management of wildlife and conservation of the environment on their own property?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EI.1.2 (R)	Has consideration is given to the conversion of unproductive sites to conservation areas?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EI.1.3 (R)	Has the producer undertaken a baseline audit to understand existing animal and plant diversity on the farm?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

## RESIDUES AND CONTAMINANTS (RC)

RC.1 (N)	Has the producers determined whether any of the crops grown require specific action to minimise pesticide residues as required by the specific market?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
RC.1.1 (N)	Is fresh produce tested for pesticide residues at least annually, or included in a third party crop protection product residue monitoring system, carried out by an accredited laboratory? Is specified documentary evidence available?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
RC.1.2 (N)	Are pesticide residue analysis results traceable to the member and to the batch/production site?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
RC.1.3 (N)	Has the producer read specific guidance within the relevant crop specific protocols to minimise residues on crops?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
RC.1.4 (N)	Has the producers discussed the action to be taken on the targeted pesticides with their crop protection adviser?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
RC.1.5 (N)	Is there a documented action plan detailing how residues are to be minimised, including a section recording changes made to growing and storage practices?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
RC.1.6 (N)	Has the producer considered what implications their residue minimising programme may have on their ICM programme etc?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

RC.1.7 (N)	Has the producer access to a list of current applicable MRLs for the market(s) where the produce is intended to be sold?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
RC.2 (N)	Has the producer undertaken a Hazard Analysis to identify Critical Control Points (HACCP)?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

### GENETICALLY MODIFIED ORGANISMS (GM)

GM.1 (K)	Does production of any Genetically Modified Organisms comply with legal requirements?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
GM.1.1 (N)	Have all potential customers been notified of any developments including trials relating to the use or production of products derived from genetic modification and has the use of GMO cultivars been agreed with individual customers prior to planting?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
GM.1.2 (N)	Are GM crops and other GM materials stored as specified?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
GM.1.3 (N)	If GM and non-GM Crops are mixed in storage, is the whole bulk regarded as genetically modified and labelled as such?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
GM.1.4 (N)	Does the produce adhere to the Code of Practice on the Provision of Information Relating to Genetically Modified Crops?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

### INTERNAL AUDIT (IA)

IA.1 (N)	Has the producer undertaken and documented a minimum of one internal audit per annum against the Scheme standards?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
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### TEMPORARY CROP PROTECTION STRUCTURES (TC)

TC.1 (R)	Does the producer adhere to the requirements of the NFU/British Summer Fruits Association Code of Practice for the Use of Polytunnels for the Production of Soft Fruit?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
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### CHOICE OF VARIETY OR ROOTSTOCK AND PLANT HEALTH CERTIFICATION (CV)

CV.1 (N)	Do varieties grown possess resistance to commercially important pests and diseases?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
CV.2 (R)	Is there an awareness of the importance of effective crop husbandry in relation to 'mother crops'?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
CV.3 (N)	Are specification guarantees held to show nursery stock is fit for the purpose?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
CV.4 (N)	Are plant health quality control systems operational for private or in-house nursery propagation and is pest and disease monitoring recorded?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
CV.5 (R)	Are records kept of delivery inspections, and are any visible signs of pest and disease damage justified?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

### IRRIGATION (IG)

IG.1 (K)	Is untreated sewage water used for irrigation?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
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IG.2 (N)	Are scientifically recognised methods of systematically predicting the irrigation requirements utilised and is crop irrigation based on identified need?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
IG.3 (N)	Is the most efficient and commercially practical water delivery system used?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
IG.3.1 (R)	Are irrigation water usage records maintained?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
IG.3.2 (R)	Has consideration been given to a documented water management plan?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
IG.4 (N)	Is analysis of sources of water for irrigation based on an annual risk assessment?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
IG.4.1 (N)	Is irrigation water analysed in line with the requirements of the risk assessment?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
IG.4.2 (N)	Are irrigation water quality records must be maintained and reviewed regularly?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
IG.4.3 (R)	Has analysis of irrigation water been undertaken by a laboratory accredited to ISO 17025 for microbial, chemical and mineral pollutants?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

## HARVEST AND STORAGE (HS)

HS.1 (N)	Has a hygiene risk assessment (individual, industry-wide or national) been carried out for the harvest and pre-farm gate transport process?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.1.1 (N)	Are all staff aware of the need to harvest, transport, store and pack produce with the utmost care, having received basic training in personal hygiene requirements for handling of fresh produce?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.1.2 (N)	Do staff handling produce comply with the hygiene instructions regarding personal cleanliness and clothing?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.1.3 (N)	Are documented hygiene instructions which include the specified information visibly displayed?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.1.4 (N)	If products are field packed, are they covered to prevent contamination once packed and during transport and removed from the field overnight?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.1.5 (N)	Are procedures in place to ensure all packaging is clean and free from contamination?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.1.6 (N)	Are reusable plastic crates cleaned where necessary?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.1.7 (N)	Are produce containers only used to contain produce and where multi-purpose trailers are used are they cleaned prior to use?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.1.8 (N)	Are all harvesting tools, equipment and transportation cleaned and maintained according to a schedule at least annually?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.1.9 (N)	Are staff provided with adequate toilet facilities at all permanent sites and in the vicinity of fieldwork, within 500 metres of the working area and in a good state of hygiene?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.1.10 (N)	Do harvest workers have access to clean hand washing facilities in the vicinity of their work and are the facilities within 500 metres of the working area and in a good state of hygiene?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.1.11 (N)	Are all light bulbs, tubes, windows and any other glass or hard plastics, in produce storage, grading and washing areas protected and are implemented procedures, written where applicable, in place to prevent the contamination of product by broken glass or hard plastics?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

HS.1.12 (N)	Is there a documented inspection process in place for produce packing in field to ensure compliance with defined quality criteria?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.1.13 (N)	Are bits of packaging material and non-produce waste removed from the field?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.2 (K)	Are post-harvest treatments minimised and only used in accordance with their statutory requirements?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.2.1 (N)	Are all applications of post-harvest treatments recorded as specified?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.2.2 (K)	Are post-harvest pesticide application records linked to consignments leaving the production/storage sites and has the labelled interval between treatment and consumption been adhered to?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.3 (N)	Is the source of water used for final product washing to national drinking water standards, with an analysis carried out within the last 12 months?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.3.1 (R)	Based on a risk assessment, is water analysis undertaken by a laboratory accredited to ISO 17025?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HS.3.2 (N)	Is any ice and/or water used at point of harvest made with potable water and handled under sanitary conditions to prevent produce contamination.	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

## PRODUCE HANDLING AND PACKING (PH)

PH.1 (N)	Has a documented hygiene risk analysis been carried out for the produce handling process?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.1.1 (N)	Has a hygiene (physical, chemical and microbiological contaminants) procedure been implemented as a direct result of the produce handling hygiene risk analysis?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.1.2 (N)	Is there a documented inspection process in place to ensure compliance with a defined quality standard?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.2 (N)	Do staff have access to clean toilets and hand washing facilities?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.2.1 (N)	Are signs clearly displayed in the packing facilities which describe the main hygiene instructions for workers and visitors?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.2.2 (N)	Are signs visible with clear instructions that hands must be washed before handling products especially after using toilets, eating etc?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.3 (R)	Do all workers wear outer garments (e.g. smocks, aprons, sleeves, gloves) that are clean and fit for purpose for the operation according to the risk analysis?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.3.1 (R)	Are there suitable changing facilities which can be used to change clothing and protective outer garments as required and secure storage facilities or lockers to protect the workers personal belongings?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.4 (N)	Is smoking, eating, chewing and drinking confined to designated areas?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.5 (N)	Are produce handling and storage facilities and equipment cleaned and/or maintained according to a cleaning schedule?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.5.1 (N)	Is documentary evidence available which authorises the use for the food industry of Cleaning Agents, Lubricants etc. which may come into contact with produce?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.6 (R)	Are all forklifts and other driven equipment used within the packing facilities maintained to avoid product contamination?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.7 (N)	Where packed produce is packed and/or stored on farm, storage areas, are temperature and humidity controls (where applicable) for the stores maintained and documented?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

PH.7.1 (N)	For products that are sensitive to light (e.g. potatoes), is daylight ingress controlled in longer term storage facilities?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.7.2 (N)	Is equipment used for weighing and temperature control routinely verified to see if it is calibrated according to a risk analysis?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
PH.8 (R)	Is stock rotation is managed to ensure maximum product quality and safety?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

## HEALTH AND SAFETY AND WORKER WELFARE (HW)

HW.1 (N)	Does the producer have in place an up-to-date Health and Safety at Work Policy and Risk Assessment?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.1.1 (N)	A COSHH Assessment must be carried out for all businesses?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.1.2 (N)	Are hazards clearly identified by warning signs?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.1.3 (N)	Are safety procedures in place for operators taking samples from controlled atmosphere stores?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.1.4 (N)	Are there clear documented procedures which regulate the re-entry intervals for plant protection products applied to the crops?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.1.5 (N)	Are there documentation records available to demonstrate that all Safety re-entry intervals for plant protection products applied to the crops have been monitored?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.1.6 (N)	Do staff who undertake pesticide applications on the farm receive regular health checks in line with guidelines laid down in the Defra Code of Practice for Using Plant Protection Products?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.1.7 (N)	Are the company visitor personal hygiene procedures and personal safety requirements officially communicated to all visitors and visibly displayed where all visitors/sub contractors can read them?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.1.8 (N)	Can pesticide application operators contact assistance easily in the event of an accident?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.2 (N)	Does the producer have all appropriate personal protective equipment (PPE) for all operations to ensure pesticide label instructions and/or other legal requirements are complied with?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.2.1 (N)	Is all PPE cleaned, maintained, stored and disposed of according to manufacturer's recommendations and statutory requirements?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.2.2 (N)	Does all respiratory protective equipment (RPE) operate efficiently and are maintenance records kept?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.2.3 (N)	Does the producer use safe methods to transport PPEs?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.3 (N)	Is there a clearly identified named member of management who has the responsibility for ensuring compliance with existing, current and relevant national and local regulations and the implementation of the policy on workers health, safety and welfare?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.3.1 (R)	Are there regular meetings where the concerns of the workers about health, safety and welfare are heard and recorded?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.3.2 (N)	Are on-site living quarters habitable with basic services and facilities?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

HW.3.3 (N)	Do workers have access to clean food storage areas including a refrigerator, designated dining areas, hand washing facilities and drinking water?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
HW.4 (N)	Have all labour providers operating in the horticulture and any associated processing and packaging sectors have obtained a licence under the Gangmasters (Licensing) Act 2004?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>

### **ENERGY EFFICIENCY (EE)**

EE.1 (N)	Is there a written energy policy?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
EE.1.1 (R)	Is the producer able to show monitoring of energy use on the farm? Do energy use records exist?.	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>